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**DATE:** November 22, 2005  
**CLIENT NO.:** 03519.0002  
**MESSAGE TO:** Examiner Alain Bashore  
**COMPANY:** USPTO  
**FAX NUMBER:** 571-273-8300  
**FROM:** George G. Ballas  
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**PAGES (Including Cover Sheet):** 15**HARD COPY TO FOLLOW:** ☐ YES ☒ NO**MESSAGE:**

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PTO/SB/97 (09-04)

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52,587

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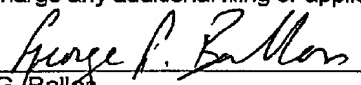
U.S. Appl. No. 09/928,109 filed August 10, 2001

- 1) Amendment Transmittal (1 page)
- 2) Amendment (12 pages)

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<b>AMENDMENT TRANSMITTAL LETTER</b>				Docket No. 3519.002.00-US	
Application No. 09/928,109 - Conf. #6279		Filing Date August 10, 2001		Examiner Alain L. Bashore	
				Art Unit 1762	
Applicant(s): Thomas R. GOODWIN et al.					
Invention: SYSTEM AND METHOD FOR TRADING AND ORIGINATING FINANCIAL PRODUCTS USING A COMPUTER NETWORK					
<b>TO THE COMMISSIONER FOR PATENTS</b>					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
<b>Total Claims</b>	22	- 23 =	0	x \$50	
<b>Independent Claims</b>	6	- 6 =	0	x \$200	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					
<input type="checkbox"/> Large Entity <span style="float: right;"><input checked="" type="checkbox"/> Small Entity</span>					
<input checked="" type="checkbox"/> No additional fee is required for this amendment.					
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of \$ _____. A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>50-0911</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 George G. Ballas Attorney Reg. No.: 52,587				Dated: <u>November 22, 2005</u>	

DC:50335606.1

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Docket No.: 3519.002.00-US  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Thomas R. GOODWIN et al.

Customer No. 30827

Application No. 09/928,109

Confirmation No. 6279

Filed: August 10, 2001

Art Unit: 1762

For: SYSTEM AND METHOD FOR TRADING  
AND ORIGINATING FINANCIAL  
PRODUCTS USING A COMPUTER  
NETWORK

Examiner: Alain L. Bashore

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT**

Sir:

In response to the Office Action dated September 7, 2005, please amend the patent application identified above as follows:

**INTRODUCTORY COMMENTS**

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 9 of this paper.

DC:50376034.1